

Identities of the Ramanujan-Slater Type

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Rogers and Ramanujan



L. J. Rogers



The Rogers-Ramanujan identities

$$\sum_{j=0}^{\infty} \frac{q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 1 \pmod{5}}} \frac{1}{1-q^j}$$

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$$\sum_{j=0}^{\infty} \frac{q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 2 \pmod{5}}} \frac{1}{1-q^j}$$

Integer Partitions

A *partition* λ of the integer n into ℓ parts is an ℓ -tuple

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$$

where

- each λ_i is a positive integer,

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The quantity n is called the *weight* of λ and is denoted $|\lambda|$.

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The quantity n is called the *weight* of λ and is denoted $|\lambda|$.
The number of parts of λ is called the *length* $\ell(\lambda)$ of λ .

There are seven partitions of 5:

$$(5) \quad (4, 1) \quad (3, 2) \quad (3, 1, 1) \quad (2, 2, 1) \\ (2, 1, 1, 1) \quad (1, 1, 1, 1, 1)$$

1st RR identity—combinatorial version

The number of partitions $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$ of n where

$$\lambda_i - \lambda_{i+1} \geq 2$$

for $i = 1, 2, \dots, \ell - 1$

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$$\lambda_i - \lambda_{i+1} \geq 2$$

for $i = 1, 2, \dots, \ell - 1$ equals the number of partitions of n into parts congruent to $\pm 1 \pmod{5}$.

Example: For $n = 10$, the relevant partitions are

(10) $(9, 1)$ $(8, 2)$ $(7, 3)$ $(6, 4)$ $(6, 3, 1)$

$(9, 1)$ $(6, 4)$ $(6, 1, 1, 1, 1)$ $(4, 4, 1, 1)$ $(4, 1, 1, 1, 1, 1, 1)$
 $(1, 1, 1, 1, 1, 1, 1, 1, 1, 1)$

a -generalized Rogers-Ramanujan identities

$$\begin{aligned} & \sum_{j=0}^{\infty} \frac{a^j q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)} \\ &= \prod_{k \geq 1} \frac{1}{1-aq^k} \sum_{j=0}^{\infty} \frac{(-1)^j a^{2j} q^{j(5j-1)/2} (1-aq^{2j})}{1-a} \prod_{i=1}^j \frac{1-aq^{i-1}}{1-q^i} \end{aligned}$$

$$\begin{aligned} & \sum_{j=0}^{\infty} \frac{a^j q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)} \\ &= \prod_{k \geq 1} \frac{1}{1-aq^k} \sum_{j=0}^{\infty} (-1)^j a^{2j} q^{j(5j+3)/2} (1-aq^{2j+1}) \prod_{i=1}^j \frac{1-aq^i}{1-q^i}. \end{aligned}$$

a -generalized Rogers-Ramanujan identities

$$F_2(a) := \sum_{j=0}^{\infty} \frac{a^j q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)}$$

$$F_1(a) := \sum_{j=0}^{\infty} \frac{a^j q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)}$$

associated q -difference equations

$$F_1(a) = F_2(aq)$$

$$F_2(a) = F_1(a) + aqF_2(aq)$$

Combinatorial a -generalized 1st RR

$$\sum_{j=0}^{\infty} \frac{a^j q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)} = \sum_{n=0}^{\infty} \sum_{\ell=0}^n R(\ell, n) a^\ell q^n,$$

where $R(\ell, n)$ denotes the number of partitions λ of length ℓ and weight n in which $\lambda_i - \lambda_{i+1} \geq 2$ for $1 \leq i \leq \ell - 1$.

Ramanujan's lost notebook

$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1 - q^3)(1 - q^9) \cdots (1 - q^{6j-3})}{(1 - q)^2 (1 - q^3)^2 \cdots (1 - q^{2j-1})^2 (1 - q^4)(1 - q^8) \cdots (1 - q^{4j})}$$
$$= \frac{1 + q^2 + q^6 + \cdots}{1 - q - q^3 + \cdots} (1 - q^6)(1 - q^{18}) \cdots$$

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$$= \frac{1 + q^2 + q^6 + \cdots}{1 - q - q^3 + \cdots} (1 - q^6)(1 - q^{18}) \cdots$$

$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1 + q + q^2)(1 + q^3 + q^6) \cdots (1 + q^{2j-1} + q^{4j-2})}{(1 - q)(1 - q^3) \cdots (1 - q^{2j-1}) \times (1 - q^4)(1 - q^8) \cdots (1 - q^{4j})}$$

$$= \prod_{\substack{j \geq 1 \\ j \text{ odd or } j \equiv \pm 2 \pmod{12}}} \frac{1}{1 - q^j}$$

a -generalized Ramanujan identity

$$\sum_{j=0}^{\infty} \frac{a^j q^{j^2} (1 + q + q^2)(1 + q^3 + q^6) \cdots (1 + q^{2j-1} + q^{4j-2})}{(1 - aq)(1 - aq^3) \cdots (1 - aq^{2j-1}) \times (1 - q^4)(1 - q^8) \cdots (1 - q^{4j})}$$

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$$\sum_{j=0}^{\infty} \frac{a^j q^{j^2} (1 + q + q^2)(1 + q^3 + q^6) \cdots (1 + q^{2j-1} + q^{4j-2})}{(1 - aq)(1 - aq^3) \cdots (1 - aq^{2j-1}) \times (1 - q^4)(1 - q^8) \cdots (1 - q^{4j})}$$

$$= \prod_{j=1}^{\infty} \frac{1 + aq^{4j-2} + a^2 q^{8j-4}}{1 - aq^{2j-1}}$$

a -generalized Ramanujan identity

$$\sum_{j=0}^{\infty} \frac{a^j q^{j^2} (1+q+q^2)(1+q^3+q^6) \cdots (1+q^{2j-1}+q^{4j-2})}{(1-aq)(1-aq^3) \cdots (1-aq^{2j-1}) \times (1-q^4)(1-q^8) \cdots (1-q^{4j})}$$

$$= \prod_{j=1}^{\infty} \frac{1 + aq^{4j-2} + a^2 q^{8j-4}}{1 - aq^{2j-1}}$$

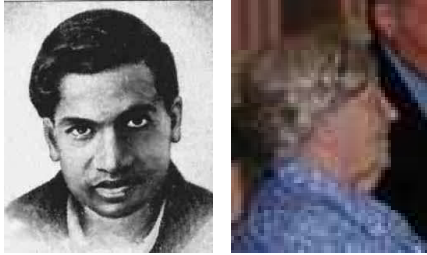
$$= \sum_{n=0}^{\infty} \sum_{\ell=0}^n s(\ell, n) a^{\ell} q^n$$

a -generalized Ramanujan identity

$$\begin{aligned} \sum_{j=0}^{\infty} \frac{a^j q^{j^2} (1+q+q^2)(1+q^3+q^6) \cdots (1+q^{2j-1}+q^{4j-2})}{(1-aq)(1-aq^3) \cdots (1-aq^{2j-1}) \times (1-q^4)(1-q^8) \cdots (1-q^{4j})} \\ = \prod_{j=1}^{\infty} \frac{1+aq^{4j-2}+a^2q^{8j-4}}{1-aq^{2j-1}} \\ = \sum_{n=0}^{\infty} \sum_{\ell=0}^n s(\ell, n) a^{\ell} q^n \end{aligned}$$

where $s(\ell, n)$ denotes the number of partitions of weight n and length ℓ where even parts may appear at most twice and are not multiples of 4.

Ramanujan-Slater Mod 8 Identity



$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1+q)(1+q^3)\cdots(1+q^{2j-1})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 1, 4 \pmod{8}}} \frac{1}{1-q^j}$$

First Göllnitz-Gordon partition identity

The number of partitions $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$ of n where

- $\lambda_i - \lambda_{i+1} \geq 2$ for $i = 1, 2, \dots, \ell - 1,$

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- $\lambda_i - \lambda_{i+1} > 2$ if λ_i is even

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The number of partitions $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$ of n where

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- $\lambda_i - \lambda_{i+1} > 2$ if λ_i is even

equals the number of partitions of n into parts congruent to $\pm 1, 4 \pmod{8}$.

$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1+q)(1+q^3)\cdots(1+q^{2j-1})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})}$$

$$\begin{aligned}
& \sum_{j=0}^{\infty} \frac{q^{j^2} (1+q)(1+q^3) \cdots (1+q^{2j-1})}{(1-q^2)(1-q^4) \cdots (1-q^{2j})} \\
= & \sum_{j=0}^{\infty} \frac{q^{j^2} q(q^{-1}+1)q^3(q^{-3}+1) \cdots q^{2j-1}(q^{-(2j+1)}+1)}{(1-q^2)(1-q^4) \cdots (1-q^{2j})}
\end{aligned}$$

$$\begin{aligned}
& \sum_{j=0}^{\infty} \frac{q^{j^2} (1+q)(1+q^3)\cdots(1+q^{2j-1})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
&= \sum_{j=0}^{\infty} \frac{q^{j^2} q(q^{-1}+1)q^3(q^{-3}+1)\cdots q^{2j-1}(q^{-(2j+1)}+1)}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
& \sum_{j=0}^{\infty} \frac{q^{j^2+1+3+\cdots+(2j-1)} (1+q^{-1})(1+q^{-3})\cdots(1+q^{-(2j+1)})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})}
\end{aligned}$$

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&= \sum_{j=0}^{\infty} \frac{q^{j^2} q(q^{-1}+1)q^3(q^{-3}+1)\cdots q^{2j-1}(q^{-(2j+1)}+1)}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
& \sum_{j=0}^{\infty} \frac{q^{j^2+1+3+\cdots+(2j-1)} (1+q^{-1})(1+q^{-3})\cdots(1+q^{-(2j+1)})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
&= \sum_{j=0}^{\infty} \frac{q^{2j^2} (1+q^{-1})(1+q^{-3})\cdots(1+q^{-(2j+1)})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})}
\end{aligned}$$

Signed Partitions



A *signed partition* σ of the integer n is a partition pair (π, ν) where $|\pi| - |\nu| = n$.

- The positive parts of σ are the parts of π .
- The negative parts of σ are the parts of ν .

Andrews' G-G for Signed Partitions

The number of signed partitions $\sigma = (\pi, \nu)$ of n where

- all positive parts are even and at least $2\ell(\pi)$, and
- all negative parts are odd, distinct and less than $2\ell(\pi)$

equals the number of (ordinary) partitions of n into parts congruent to $\pm 1, 4 \pmod{8}$.

Example: For $n = 10$, the relevant partitions are

(10) $(9, 1)$ $(8, 2)$ $(7, 3)$ $(6, 3, 1)$

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(10) $(9, 1)$ $(8, 2)$ $(7, 3)$ $(6, 3, 1)$

$\left((10), \emptyset \right)$ $\left((6, 4), \emptyset \right)$ $\left((10, 4), (3, 1) \right)$ $\left((8, 6), (3, 1) \right)$
 $\left((6, 6, 6), (5, 3) \right)$

Example: For $n = 10$, the relevant partitions are

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$$\left((10), \emptyset \right) \quad \left((6, 4), \emptyset \right) \quad \left((10, 4), (3, 1) \right) \quad \left((8, 6), (3, 1) \right) \\ \left((6, 6, 6), (5, 3) \right)$$

$$(9, 1) \quad (7, 1, 1, 1) \quad (4, 4, 1, 1) \quad (4, 1, 1, 1, 1, 1, 1) \\ (1, 1, 1, 1, 1, 1, 1, 1, 1, 1)$$

Ramanujan 's lost notebook

$$\sum_{j=0}^{\infty} \frac{q^{2j^2} (1 - q^3)(1 - q^9) \cdots (1 - q^{6j-3})}{(1 - q^2)(1 - q^4) \cdots (1 - q^{4j}) \times (1 - q)(1 - q^3) \cdots (1 - q^{2j-1})}$$
$$= \frac{1 - q - q^5 + q^8 + \cdots}{1 - q - q^2 + q^5 + \cdots} (1 - q^9)(1 - q^{27}) \cdots$$

Ramanujan 's lost notebook

$$\sum_{j=0}^{\infty} \frac{q^{2j^2} (1 - q^3)(1 - q^9) \cdots (1 - q^{6j-3})}{(1 - q^2)(1 - q^4) \cdots (1 - q^{4j}) \times (1 - q)(1 - q^3) \cdots (1 - q^{2j-1})}$$

$$= \frac{1 - q - q^5 + q^8 + \cdots}{1 - q - q^2 + q^5 + \cdots} (1 - q^9)(1 - q^{27}) \cdots$$

$$\sum_{j=0}^{\infty} \frac{q^{2j^2} (1 + q + q^2)(1 + q^3 + q^6) \cdots (1 + q^{2j-1} + q^{4j-1})}{(1 - q^2)(1 - q^4) \cdots (1 - q^{4j})}$$

$$= \prod_{\substack{j \geq 1 \\ j \equiv \pm 2, \pm 3, \pm 4, \pm 8 \pmod{18}}} \frac{1}{1 - q^j}$$

In 1981, Andrews gave the following partition theoretic interpretation: Let $\rho_1(n)$ denote the number of partitions of n subject to the conditions:

- no part appears more than twice,
- no odd part exceeds the number of even parts,
- among the even parts (arranged in nonincreasing size) only the second, fourth, sixth, etc., may be subsequently repeated.

Let $\rho_2(n)$ denote the number of partitions of n into parts congruent to $\pm 2, \pm 3, \pm 4, \pm 8 \pmod{18}$.

Then $\rho_1(n) = \rho_2(n)$ for all n .

$$\begin{aligned}
& \sum_{j=0}^{\infty} \frac{q^{2j^2} (1 + q + q^2)(1 + q^3 + q^6) \cdots (1 + q^{2j-1} + q^{4j-2})}{(1 - q^2)(1 - q^4) \cdots (1 - q^{4j})} \\
&= \sum_{j=0}^{\infty} \frac{q^{4j^2} (1 + q^{-1} + q^{-2}) \cdots (1 + q^{-(2j-1)} + q^{-(4j-2)})}{(1 - q^2)(1 - q^4) \cdots (1 - q^{4j})}
\end{aligned}$$

The number of signed partitions $\sigma = (\pi, \nu)$ of n where

- $\ell(\pi)$ is even, and each positive part is even and $\geq \ell(\pi)$,
- the negative parts are odd, less than $\ell(\pi)$, and may appear at most twice

equals the number of partitions of n in parts congruent to $\pm 2, \pm 3, \pm 4, \pm 8 \pmod{18}$.

Mod 18 Identities—Loxton



$$1 + \sum_{n=1}^{\infty} \frac{q^{n(n+1)}(1+q^3)(1+q^6)\cdots(1+q^{3n-3})}{(1+q)(1+q^2)\cdots(1+q^{n-1}) \times (1-q)(1-q^2)\cdots(1-q^{2n})}$$
$$= \prod_{\substack{j \geq 1 \\ j \equiv \pm 2, \pm 3, \pm 4, \pm 5, \pm 6 \pmod{18}}} \frac{1}{1-q^j}$$

Mod 18 Identities—Loxton



$$1 + \sum_{n=1}^{\infty} \frac{q^{n^2} (1 + q^3)(1 + q^6) \cdots (1 + q^{3n-3})}{(1 + q)(1 + q^2) \cdots (1 + q^{n-1}) \times (1 - q)(1 - q^2) \cdots (1 - q^{2n})}$$
$$= \prod_{\substack{j \geq 1 \\ j \equiv \pm 1, \pm 3, \pm 4, \pm 6, \pm 8 \pmod{18}}} \frac{1}{1 - q^j}$$

Mod 18 Identities—McLaughlin-S.



$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(1+q^3)(1+q^6)\cdots(1+q^{3n})}{(1+q)(1+q^2)\cdots(1+q^n) \times (1-q)(1-q^2)\cdots(1-q^{2n+1})}$$
$$= \prod_{j \geq 1} \frac{(1-q^{18j-3})(1-q^{18j-15})}{(1-q^{3j-1})(1-q^{3j-2})}$$

Mod 18 Identities—McLaughlin-S.



$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)}(1+q^3)(1+q^6)\cdots(1+q^{3n}) \times (1-q^{n+1})}{(1+q)(1+q^2)\cdots(1+q^n) \times (1-q)(1-q^2)\cdots(1-q^{2n+1})}$$
$$= \prod_{\substack{j \geq 1 \\ j \equiv \pm 2, \pm 3, \pm 6, \pm 7, \pm 8 \pmod{18}}} \frac{1}{1-q^j}$$

Rogers-Ramanujan and Lie Algebras

- Let \mathfrak{g} be an affine Kac-Moody Lie Algebra $A_1^{(1)}$ or $A_2^{(2)}$.
- Let $\{h_0, h_1\}$ be the usual basis of a maximal toral subalgebra T of \mathfrak{g} .

- For all dominant integral $\lambda \in \tilde{T}^*$, there is an essentially unique irreducible, integrable, highest weight module $L(\lambda)$ called the *standard module*, assuming WLOG that $\lambda(d) = 0$.
- $\lambda = s_0\Lambda_0 + s_1\Lambda_1$ where Λ_0 and Λ_1 are the fundamental weights, given by

$$\Lambda_i(h_j) = \delta_{ij} \text{ and } \Lambda_i(d) = 0.$$

- There is an infinite product $F_{\mathfrak{g}}$ associated with \mathfrak{g} called the “fudge factor.”
- \mathfrak{g} has a certain infinite-dimensional Heisenberg subalgebra known as the “principal Heisenberg vacuum subalgebra” \mathfrak{s} .

In the case of $A_1^{(1)}$ for standard modules of odd level $2k + 1$,

$$\begin{aligned} & \chi(\Omega((2k - i + 2)\Lambda_0 + (i - 1)\Lambda_1)) \\ &= \prod_{j=1}^{\infty} \frac{(1 - q^{(2k+3)j})(1 - q^{(2k+3)j-i})(1 - q^{(2k+3)j-2k-3+i})}{1 - q^j} \\ &= \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2 + N_i + N_{i+1} + \dots + N_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_k}}, \end{aligned}$$

where $1 \leq i \leq k + 1$ and $N_j := n_j + n_{j+1} + \cdots + n_k$.

In the case of $A_1^{(1)}$ for standard modules of even level $2k$,

$$\begin{aligned} & \chi(\Omega((2k - i + 1)\Lambda_0 + (i - 1)\Lambda_1)) \\ &= \prod_{j=1}^{\infty} \frac{(1 - q^{(2k+2)j})(1 - q^{(2k+2)j-i})(1 - q^{(2k+2)j-2k-2+i})}{1 - q^j} \\ &= \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2 + N_i + N_{i+1} + \dots + N_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q^2; q^2)_{n_k}}, \end{aligned}$$

where $1 \leq i \leq k + 1$ and $N_j := n_j + n_{j+1} + \cdots + n_k$.

Define

$$Q(w, x) :=$$

$$\prod_{j \geq 1} (1 - w^j)(1 + xw^{j-1}) \left(1 + \frac{w^j}{x}\right) \left(1 - \frac{w^{2j-1}}{x^2}\right) (1 - x^2w^{2j-1}).$$

The principal character for the level ℓ standard modules associated with $A_2^{(2)}$ is

$$\chi((\ell - 2i + 2)\Lambda_0 + (i - 1)\Lambda_1) = \frac{Q(q^{\ell+3}, -q^i)}{\prod_{j \geq 1} (1 - q^j)},$$

where $1 \leq i \leq 1 + \lfloor \frac{\ell}{2} \rfloor$.

Principal characters of level 6 standard mod

$$\chi(6\Lambda_0) = \frac{Q(q^9, -q)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-1; q^3)_n}{(-1; q)_n (q; q)_{2n}}$$

$$\chi(4\Lambda_0 + \Lambda_1) = \frac{Q(q^9, -q^2)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n^2} (-1; q^3)_n}{(-1; q)_n (q; q)_{2n}}$$

$$\chi(2\Lambda_0 + 2\Lambda_1) = \frac{Q(q^9, -q^3)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n(n+1)} (-q^3; q^3)_n}{(-q; q)_n (q; q)_{2n+1}}$$

$$\chi(4\Lambda_1) = \frac{Q(q^9, -q^4)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n(n+2)} (-q^3; q^3)_n}{(q^2; q^2)_n (q^{n+2}; q)_{n+1}}$$