

On the Rogers-Selberg Identities and Gordon's Theorem

Andrew Sills

Georgia Southern University

Rogers and Ramanujan



L. J. Rogers



Rogers-Ramanujan Identities

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$$\sum_{j=0}^{\infty} \frac{q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 2 \pmod{5}}} \frac{1}{1-q^j}$$

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Analytic Disclaimer

Assume throughout that $|q| < 1$.

Rising q -factorial notation

$$(a; q)_n := (1 - a)(1 - aq)(1 - aq^2) \cdots (1 - aq^{n-1})$$

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Rogers-Ramanujan Identities

$$\sum_{j=0}^{\infty} \frac{q^{j^2+j}}{(q; q)_j} = \prod_{\substack{j \geq 1 \\ j \not\equiv 0, \pm 1 \pmod{5}}} \frac{1}{1 - q^j}$$

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$$\sum_{j=0}^{\infty} \frac{q^{2j^2+2j} (-q^{2j+2}; q)_{\infty}}{(q^2; q^2)_j} = \prod_{\substack{j \geq 1 \\ j \not\equiv 0, \pm 1 \pmod{7}}} \frac{1}{1 - q^j},$$

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$$\sum_{j=0}^{\infty} \frac{q^{2j^2} (-q^{2j+1}; q)_{\infty}}{(q^2; q^2)_j} = \prod_{\substack{j \geq 1 \\ j \not\equiv 0, \pm 3 \pmod{7}}} \frac{1}{1 - q^j}.$$

Rogers and Selberg



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Partitions

- A *partition* π of an integer n is a nonincreasing finite sequence of positive integers

$$\pi = \{\pi_1, \pi_2, \pi_3, \dots, \pi_s\}$$

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- The seven partitions of 5 are thus

$$\begin{array}{cccc} \{5\} & \{4, 1\} & \{3, 2\} & \{3, 1, 1\} \\ \{2, 2, 1\} & \{2, 1, 1, 1\} & \{1, 1, 1, 1, 1\} & \end{array}$$

Partitions

- The *multiplicity* of the integer j in the partition π , denoted $m_j(\pi)$, is the number of times j appears in π .

$$\pi = \langle 1^{m_1(\pi)} 2^{m_2(\pi)} 3^{m_3(\pi)} \dots \rangle$$

Partitions

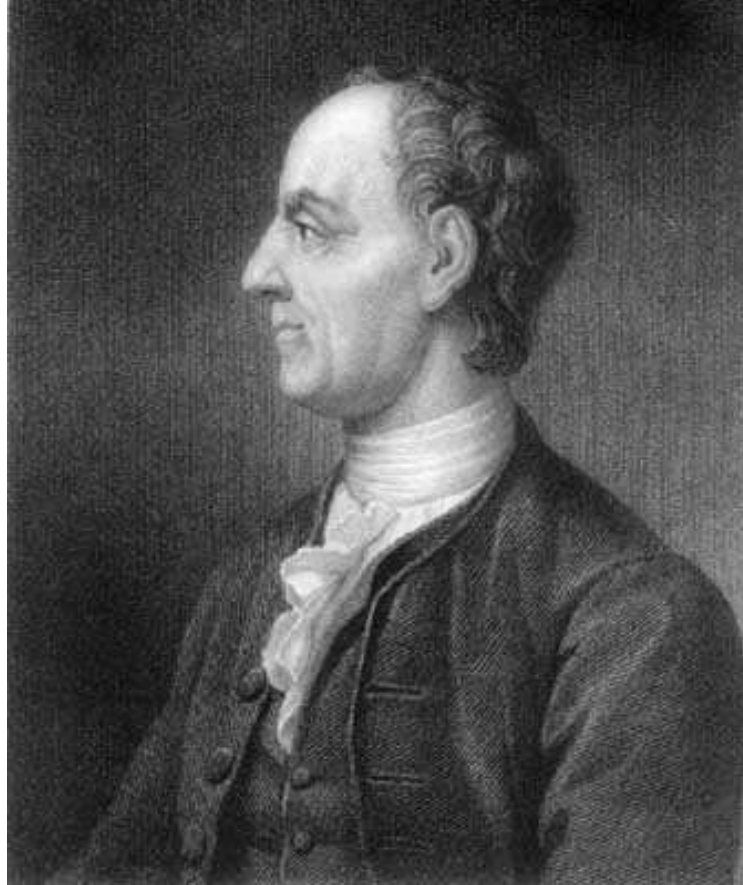
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Euler



Euler's partition identity (1748)

The number of partitions of n into parts which differ by at least 1
equals the number of partitions of n into parts congruent to $\pm 1 \pmod{4}$

Example: Euler's identity $n = 6$

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$\{5, 1\}, \{3, 3\}, \{3, 1, 1, 1\}, \{1, 1, 1, 1, 1, 1\}$

MacMahon and Schur



Combinatorial RR (1917)

The number of partitions of n into parts which differ by at least 2
equals the number of partitions of n into parts congruent to $\pm 1 \pmod{5}$

Schur's partition identity (1926)

The number of partitions of n into parts which differ by at least 3 and where no consecutive multiples of 3 appear equals the number of partitions of n into parts congruent to $\pm 1 \pmod{6}$

Lehmer and Alder



Lehmer's nonexistence theorem (1946)

The number of partitions of n into parts which differ by at least d is *not* equal to the number of partitions of n into parts taken from *any* set of integers whatsoever if $d > 2$.

Alder's nonexistence theorem (1948)

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Gordon's Theorem (1961)

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Then $G_{k,i}(n) = C_{k,i}(n)$ for $1 \leq i \leq k$ and all integers n .

George E. Andrews



Andrews-Gordon Identity (1974)

$$\sum_{n_1, n_2, \dots, n_{k-1} \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_{k-1}^2 + N_i + N_{i+1} + \dots + N_{k-1}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}}} = \prod_{\substack{j=1 \\ j \not\equiv 0, \pm i \pmod{2k+1}}}^{\infty} \frac{1}{1 - q^j},$$

where $N_j := n_j + n_{j+1} + \dots + n_{k-1}$.

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$$\sum_{n_1=0}^{\infty} \sum_{n_2=0}^{\infty} \frac{q^{(n_1+n_2)^2+n_2^2+n_2}}{(q; q)_{n_1} (q; q)_{n_2}} = \prod_{\substack{j \geq 1 \\ j \not\equiv 0, \pm 2 \pmod{7}}} \frac{1}{1 - q^j}.$$

Andrews-Gordon: $k = 3, i = 2$

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$$\sum_{j=0}^{\infty} \frac{q^{2j^2+2j} (-q^{2j+1}; q)_{\infty}}{(q^2; q^2)_j} = \prod_{\substack{j \geq 1 \\ j \not\equiv 0, \pm 2 \pmod{7}}} \frac{1}{1 - q^j}.$$

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Then $A(n) = C_{3,2}(n)$ for all n .

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- Let \mathcal{A} denote the set of partitions enumerated by $A(n)$ in Andrews' combinatorial interpretation of the 2nd Rogers-Selberg identity.

Characterization of \mathcal{G} partitions

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Characterization of \mathcal{G} partitions

A partition $\pi \in \mathcal{G}$ is one in which

- no number appears more than twice as a part,
- if r appears twice, then neither $r - 1$ nor $r + 1$ appear, and
- 1 appears at most once.

Characterization of \mathcal{A} partitions

A partition $\pi \in \mathcal{A}$ may be thought of as a union of two partitions :

- a partition into 2's, 4's, 6's, \dots , $2j$'s with all parts repeated, and

Characterization of \mathcal{A} partitions

A partition $\pi \in \mathcal{A}$ may be thought of as a union of two partitions :

- a partition into 2's, 4's, 6's, \dots , $2j$'s with all parts repeated, and
- a partition into distinct parts greater than $2j$.

Example

$$\{30, 27, 19, 15, 15, 13, 12, 10, 8, 8, 4, 4, 2, 1\} \in \mathcal{G}$$

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$$\{30, 27, 19, 15, 15, 13, 12, 10, 8, 8, 4, 4, 2, 1\} \in \mathcal{G}$$

↓

$$\{30, 27, 19, 15, 14, 12, 8, 7\} \cup \langle 2^4 4^4 6^2 \rangle \in \mathcal{A}$$

{30, 27, 19, 15, 15, 13, 12, 10, 8, 8, 4, 4, 2, 1}

$$\begin{array}{r}
 30 \quad 27 \quad 19 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \\
 \quad \quad + \quad 13 \quad 12 \quad 10 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \quad 2 \\
 \quad \quad \quad \quad + \quad 2 \quad 2 \quad 2 \quad 2 \\
 \quad \quad \quad \quad + \quad 2 \quad 1
 \end{array}$$

$$30 \quad 27 \quad 19 \quad 15 \quad 14 \quad 12 \quad 8 \quad 7 \quad 6 \quad 6 \quad 4 \quad 4 \quad 4 \quad 4 \quad 2 \quad 2 \quad 2 \quad 2$$

18	17	16	15	14	13	12	11	10	9	8	7	6	5	4	3	2	1
30	27	19	15	14	12	8	7	6	6	4	4	4	4	2	2	2	2
			↑														

So first row is

30 27 19 2 2 2 2 2 2 2 2 2 2 2 2 2 2

$$\begin{array}{cccc}
 4 & 3 & 2 & 1 \\
 \hline
 4 & 3 & 2 & 2 \\
 \uparrow & & &
 \end{array}$$

So the first three rows are

$$\begin{array}{cccccccccccccccccccc}
 30 & 27 & 19 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 \\
 & & 13 & 12 & 10 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & 2 & & & & & & \\
 & & & & & 2 & 2 & 2 & 2 & & & & & & & & & &
 \end{array}$$

$$\begin{array}{r} 2 \ 1 \\ \hline 2 \ 1 \\ \uparrow \end{array}$$

$$\begin{array}{r} 30 \ 27 \ 19 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \\ + \ 13 \ 12 \ 10 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \ 2 \\ + \ 2 \ 2 \ 2 \ 2 \\ + \ 2 \ 1 \\ \hline 30 \ 27 \ 19 \ 15 \ 14 \ 12 \ 8 \ 7 \ 6 \ 6 \ 4 \ 4 \ 4 \ 4 \ 2 \ 2 \ 2 \ 2 \end{array}$$

Analogous interpretations of the other two Rogers-Selberg identities can be given and they in turn can be mapped similarly to the $i = 1$ and $i = 3$ instances of the partitions enumerated by the $k = 3$ case of Gordon's theorem.

"A partition bijection related to the Rogers-Selberg identities and Gordon's theorem,"

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<http://math.georgiasouthern.edu/~asills>

Thank you!