

Identities of the Ramanujan-Slater type  
Andrew Sills  
Georgia Southern University

## The Rogers-Ramanujan identities

$$\sum_{j=0}^{\infty} \frac{q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 1 \pmod{5}}} \frac{1}{1-q^j}$$

$$\sum_{j=0}^{\infty} \frac{q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 2 \pmod{5}}} \frac{1}{1-q^j}$$

## Integer Partitions

A *partition*  $\lambda$  of the integer  $n$  into  $\ell$  parts is an  $\ell$ -tuple

$$\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$$

where

- each  $\lambda_i$  is a positive integer,
- $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_\ell$ , and
- $\lambda_1 + \lambda_2 + \dots + \lambda_\ell = n$ .

<sup>$\omega$</sup>  The quantity  $n$  is called the *weight* of  $\lambda$  and is denoted  $|\lambda|$ .  
The number of parts of  $\lambda$  is called the *length*  $\ell(\lambda)$  of  $\lambda$ .

There are seven partitions of 5:

(5) (4, 1) (3, 2) (3, 1, 1) (2, 2, 1)  
(2, 1, 1, 1) (1, 1, 1, 1, 1)

First Rogers-Ramanujan identity—combinatorial version

The number of partitions  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$  of  $n$  where

$$\lambda_i - \lambda_{i+1} \geq 2$$

for  $i = 1, 2, \dots, \ell - 1$

equals the number of partitions of  $n$  into parts congruent to  $\pm 1 \pmod{5}$ .

Example: For  $n = 10$ , the relevant partitions are

(10) (9, 1) (8, 2) (7, 3) (6, 4) (6, 3, 1)

(9, 1) (6, 4) (6, 1, 1, 1, 1) (4, 4, 1, 1) (4, 1, 1, 1, 1, 1, 1)  
(1, 1, 1, 1, 1, 1, 1, 1, 1, 1)

## $a$ -generalized Rogers-Ramanujan identities

$$\begin{aligned} & \sum_{j=0}^{\infty} \frac{a^j q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)} \\ &= \frac{1}{(aq; q)_{\infty}} \sum_{j=0}^{\infty} \frac{(-1)^j a^{2j} q^{j(5j-1)/2} (1-aq^{2j})(a; q)_j}{(1-a)(q; q)_j} \end{aligned}$$

$$\begin{aligned} & \sum_{j=0}^{\infty} \frac{a^j q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)} \\ &= \frac{1}{(aq; q)_{\infty}} \sum_{j=0}^{\infty} \frac{(-1)^j a^{2j} q^{j(5j+3)/2} (1-aq^{2j+1})(aq; q)_j}{(q; q)_j}, \end{aligned}$$

where  $(a; q)_j = (1-a)(1-aq)\cdots(1-aq^{j-1})$

and  $(a; q)_{\infty} = (1-a)(1-aq)(1-aq^2)\cdots$ .

$a$ -generalized Rogers-Ramanujan identities

$$\begin{aligned}
 F_2(a) &:= \sum_{j=0}^{\infty} \frac{a^j q^{j^2}}{(1-q)(1-q^2)\cdots(1-q^j)} \\
 &= \frac{1}{(aq; q)_{\infty}} \sum_{j=0}^{\infty} \frac{(-1)^j a^{2j} q^{j(5j-1)/2} (1-aq^{2j})(a; q)_j}{(1-a)(q; q)_j}
 \end{aligned}$$

$$\begin{aligned}
 F_1(a) &:= \sum_{j=0}^{\infty} \frac{a^j q^{j^2+j}}{(1-q)(1-q^2)\cdots(1-q^j)} \\
 &= \frac{1}{(aq; q)_{\infty}} \sum_{j=0}^{\infty} \frac{(-1)^j a^{2j} q^{j(5j+3)/2} (1-aq^{2j+1})(aq; q)_j}{(q; q)_j}
 \end{aligned}$$



$a$ -generalized Rogers-Ramanujan identities:  
associated  $q$ -difference equations

$$F_1(a) = F_2(aq)$$

$$F_2(a) = F_1(a) + aqF_2(aq)$$

Ramanujan recorded the following identity in the lost notebook:

$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1 - q^3)(1 - q^9) \cdots (1 - q^{6j-3})}{(1 - q)^2 (1 - q^3)^2 \cdots (1 - q^{2j-1})^2 (1 - q^4)(1 - q^8) \cdots (1 - q^{4j})}$$

$$= \frac{1 + q^2 + q^6 + \cdots}{1 - q - q^3 + \cdots} (1 - q^6)(1 - q^{18}) \cdots$$

$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1 + q + q^2)(1 + q^3 + q^6) \cdots (1 + q^{2j-1} + q^{4j-2})}{(1 - q)(1 - q^3) \cdots (1 - q^{2j-1}) \times (1 - q^4)(1 - q^8) \cdots (1 - q^{4j})}$$

$$= \prod_{\substack{j \geq 1 \\ j \text{ odd or } j \equiv \pm 2 \pmod{12}}} \frac{1}{1 - q^j}$$

$$\begin{aligned}
& \sum_{j=0}^{\infty} \frac{a^j q^{j^2} (1+q+q^2)(1+q^3+q^6) \cdots (1+q^{2j-1}+q^{4j-2})}{(1-aq)(1-aq^3) \cdots (1-aq^{2j-1}) \times (1-q^4)(1-q^8) \cdots (1-q^{4j})} \\
&= \prod_{j=1}^{\infty} \frac{1+aq^{4j-2}+a^2q^{8j-4}}{1-aq^{2j-1}} \\
&= \sum_{n=0}^{\infty} \sum_{\ell=0}^n s(\ell, n) a^{\ell} q^n
\end{aligned}$$

where  $s(\ell, n)$  denotes the number of partitions of  $n$  with exactly  $\ell$  parts where even parts may appear at most twice and are not multiples of 4.

## Ramanujan-Slater Mod 8 Identity

$$\sum_{j=0}^{\infty} \frac{q^{j^2} (1+q)(1+q^3)\cdots(1+q^{2j-1})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 1, 4 \pmod{8}}} \frac{1}{1-q^j}$$

## First Göllnitz-Gordon partition identity

The number of partitions  $\lambda = (\lambda_1, \lambda_2, \dots, \lambda_\ell)$  of  $n$  where

- $\lambda_i - \lambda_{i+1} \geq 2$  for  $i = 1, 2, \dots, \ell - 1$ ,
- $\lambda_i - \lambda_{i+1} > 2$  if  $\lambda_i$  is even

equals the number of partitions of  $n$  into parts congruent to  $\pm 1, 4 \pmod{8}$ .

$$\begin{aligned}
& \sum_{j=0}^{\infty} \frac{q^{j^2}(1+q)(1+q^3)\cdots(1+q^{2j-1})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
&= \sum_{j=0}^{\infty} \frac{q^{j^2}q(q^{-1}+1)q^3(q^{-3}+1)\cdots q^{2j-1}(q^{-(2j+1)}+1)}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
&= \sum_{j=0}^{\infty} \frac{q^{j^2+1+3+\cdots+(2j-1)}(1+q^{-1})(1+q^{-3})\cdots(1+q^{-(2j+1)})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})} \\
&= \sum_{j=0}^{\infty} \frac{q^{2j^2}(1+q^{-1})(1+q^{-3})\cdots(1+q^{-(2j+1)})}{(1-q^2)(1-q^4)\cdots(1-q^{2j})}
\end{aligned}$$

## Signed Partitions

A *signed partition*  $\sigma$  of the integer  $n$  is a partition pair  $(\pi, \nu)$  where  $|\pi| - |\nu| = n$ .

- The positive parts of  $\sigma$  are the parts of  $\pi$ .
- The negative parts of  $\sigma$  are the parts of  $\nu$ .

Andrews' variation on Göllnitz-Gordon for Signed Partitions:

The number of signed partitions  $\sigma = (\pi, \nu)$  of  $n$  where

- all positive parts are even and at least  $2\ell(\pi)$ , and
- all negative parts are odd, distinct and less than  $2\ell(\pi)$

equals the number of (ordinary) partitions of  $n$  into parts congruent to  $\pm 1, 4 \pmod{8}$ .



Example: For  $n = 10$ , the relevant partitions are

$(10)$   $(9, 1)$   $(8, 2)$   $(7, 3)$   $(6, 3, 1)$

$\left( (10), \emptyset \right)$   $\left( (6, 4), \emptyset \right)$   $\left( (10, 4), (3, 1) \right)$   $\left( (8, 6), (3, 1) \right)$   
 $\left( (6, 6, 6), (5, 3) \right)$

$(9, 1)$   $(7, 1, 1, 1)$   $(4, 4, 1, 1)$   $(4, 1, 1, 1, 1, 1, 1)$   
 $(1, 1, 1, 1, 1, 1, 1, 1, 1, 1)$

The following identity was recorded by Ramanujan in the lost notebook:

$$\sum_{j=0}^{\infty} \frac{q^{2j^2}(1-q^3)(1-q^9)\cdots(1-q^{6j-3})}{(1-q^2)(1-q^4)\cdots(1-q^{4j}) \times (1-q)(1-q^3)\cdots(1-q^{2j-1})}$$

$$= \frac{1-q-q^5+q^8+\cdots}{1-q-q^2+q^5+\cdots}(1-q^9)(1-q^{27})\cdots$$

$$\sum_{j=0}^{\infty} \frac{q^{2j^2}(1+q+q^2)(1+q^3+q^6)\cdots(1+q^{2j-1}+q^{4j-1})}{(1-q^2)(1-q^4)\cdots(1-q^{4j})}$$

$$= \prod_{\substack{j \geq 1 \\ j \equiv \pm 2, \pm 3, \pm 4, \pm 8 \pmod{18}}} \frac{1}{1-q^j}$$

In 1981, Andrews gave the following partition theoretic interpretation: Let  $\rho_1(n)$  denote the number of partitions of  $n$  subject to the conditions:

- no part appears more than twice,
- no odd part exceeds the number of even parts,
- among the even parts (arranged in nonincreasing size) only the second, fourth, sixth, etc., may be subsequently repeated.

Let  $\rho_2(n)$  denote the number of partitions of  $n$  into parts congruent to  $\pm 2, \pm 3, \pm 4, \pm 8 \pmod{18}$ .

Then  $\rho_1(n) = \rho_2(n)$  for all  $n$ .

$$\begin{aligned}
& \sum_{j=0}^{\infty} \frac{q^{2j^2}(1+q+q^2)(1+q^3+q^6)\cdots(1+q^{2j-1}+q^{4j-2})}{(1-q^2)(1-q^4)\cdots(1-q^{4j})} \\
= & \sum_{j=0}^{\infty} \frac{q^{4j^2}(1+q^{-1}+q^{-2})\cdots(1+q^{-(2j-1)}+q^{-(4j-2)})}{(1-q^2)(1-q^4)\cdots(1-q^{4j})}
\end{aligned}$$

The number of signed partitions  $\sigma = (\pi, \nu)$  of  $n$  where

- $\ell(\pi)$  is even, and each positive part is even and  $\geq \ell(\pi)$ ,
- the negative parts are odd, less than  $\ell(\pi)$ , and may appear at most twice

equals the number of partitions of  $n$  in parts congruent to  $\pm 2, \pm 3, \pm 4, \pm 8 \pmod{18}$ .

Mod 18 Identities—McLaughlin-S.

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-1; q^3)_n}{(-1; q)_n(q; q)_{2n}} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 2, \pm 3, \pm 4, \pm 5, \pm 6 \pmod{18}}} \frac{1}{1 - q^j}$$

$$\sum_{n=0}^{\infty} \frac{q^{n^2}(-1; q^3)_n}{(-1; q)_n(q; q)_{2n}} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 1, \pm 3, \pm 4, \pm 6, \pm 8 \pmod{18}}} \frac{1}{1 - q^j}$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-q^3; q^3)_n}{(-q; q)_n(q; q)_{2n+1}} = \prod_{j \geq 1} \frac{(1 - q^{18j-3})(1 - q^{18j-15})}{(1 - q^{3j-1})(1 - q^{3j-2})}$$

$$\sum_{n=0}^{\infty} \frac{q^{n(n+2)}(-q^3; q^3)_n}{(q^2; q^2)_n(q^{n+2}; q)_{n+1}} = \prod_{\substack{j \geq 1 \\ j \equiv \pm 2, \pm 3, \pm 6, \pm 7, \pm 8 \pmod{18}}} \frac{1}{1 - q^j}$$

## Rogers-Ramanujan and Lie Algebras

- Let  $\mathfrak{g}$  be be affine Kac-Moody Lie Algebra  $A_1^{(1)}$  or  $A_2^{(2)}$ .
- Let  $\{h_0, h_1\}$  be the usual basis of a maximal toral subalgebra  $T$  of  $\mathfrak{g}$ .
- Let  $d$  be the degree derivation of  $\mathfrak{g}$ .
- Let  $\tilde{T} := T \oplus \mathbb{C}d$ .

- For all dominant integral  $\lambda \in \tilde{T}^*$ , there is an essentially unique irreducible, integrable, highest weight module  $L(\lambda)$  called the *standard module*, assuming WLOG that  $\lambda(d) = 0$ .
- $\lambda = s_0\Lambda_0 + s_1\Lambda_1$  where  $\Lambda_0$  and  $\Lambda_1$  are the fundamental weights, given by

$$\Lambda_i(h_j) = \delta_{ij} \text{ and } \Lambda_i(d) = 0.$$

- For  $A_1^{(1)}$ , the canonical central element is  $c = h_0 + h_1$ .
- For  $A_2^{(2)}$ , the canonical central element is  $c = h_0 + 2h_1$ .
- The quantity  $\lambda(c)$  is called the *level* of  $L(\lambda)$ .



- There is an infinite product  $F_{\mathfrak{g}}$  associated with  $\mathfrak{g}$  called the “fudge factor.”
- $\mathfrak{g}$  has a certain infinite-dimensional Heisenberg subalgebra known as the “principal Heisenberg vacuum subalgebra”  $\mathfrak{s}$ .
- The principal character  $\chi(\Omega(s_0\Lambda_0 + s_1\Lambda_1))$ , where  $\Omega(\lambda)$  is the vacuum space for  $\mathfrak{s}$  in  $L(\lambda)$  is

$$\chi(\Omega(s_0\Lambda_0 + s_1\Lambda_1)) = \frac{\chi(L(s_0\Lambda_0 + s_1\Lambda_1))}{F_{\mathfrak{g}}},$$

where  $\chi(L(\lambda))$  is the principally specialized character of  $L(\lambda)$ .

In the case of  $A_1^{(1)}$  for standard modules of odd level  $2k+1$ ,

$$\begin{aligned}
& \chi(\Omega((2k-i+2)\Lambda_0 + (i-1)\Lambda_1)) \\
&= \prod_{j=1}^{\infty} \frac{(1 - q^{(2k+3)j})(1 - q^{(2k+3)j-i})(1 - q^{(2k+3)j-2k-3+i})}{1 - q^j} \\
&= \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2 + N_i + N_{i+1} + \dots + N_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_k}},
\end{aligned}$$

where  $1 \leq i \leq k+1$  and  $N_j := n_j + n_{j+1} + \dots + n_k$ .

In the case of  $A_1^{(1)}$  for standard modules of even level  $2k$ ,

$$\begin{aligned}
& \chi(\Omega((2k - i + 1)\Lambda_0 + (i - 1)\Lambda_1)) \\
&= \prod_{j=1}^{\infty} \frac{(1 - q^{(2k+2)j})(1 - q^{(2k+2)j-i})(1 - q^{(2k+2)j-2k-2+i})}{1 - q^j} \\
&= \sum_{n_1, n_2, \dots, n_k \geq 0} \frac{q^{N_1^2 + N_2^2 + \dots + N_k^2 + N_i + N_{i+1} + \dots + N_k}}{(q; q)_{n_1} (q; q)_{n_2} \cdots (q; q)_{n_{k-1}} (q^2; q^2)_{n_k}},
\end{aligned}$$

where  $1 \leq i \leq k + 1$  and  $N_j := n_j + n_{j+1} + \cdots + n_k$ .

Define

$$Q(w, x) := \prod_{j \geq 1} (1-w^j)(1+xw^{j-1}) \left(1 + \frac{w^j}{x}\right) \left(1 - \frac{w^{2j-1}}{x^2}\right) (1-x^2w^{2j-1}).$$

The principal character for the level  $\ell$  standard modules associated with  $A_2^{(2)}$  is

$$\chi((\ell - 2i + 2)\Lambda_0 + (i - 1)\Lambda_1) = \frac{Q(q^{\ell+3}, -q^i)}{\prod_{j \geq 1} (1 - q^j)},$$

where  $1 \leq i \leq 1 + \lfloor \frac{\ell}{2} \rfloor$ .

Principal characters of level 6 standard modules for  $A_2^{(2)}$

$$\begin{aligned}\chi(6\Lambda_0) &= \frac{Q(q^9, -q)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-1; q^3)_n}{(-1; q)_n (q; q)_{2n}} \\ \chi(4\Lambda_0 + \Lambda_1) &= \frac{Q(q^9, -q^2)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n^2}(-1; q^3)_n}{(-1; q)_n (q; q)_{2n}} \\ \chi(2\Lambda_0 + 2\Lambda_1) &= \frac{Q(q^9, -q^3)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n(n+1)}(-q^3; q^3)_n}{(-q; q)_n (q; q)_{2n+1}} \\ \chi(4\Lambda_1) &= \frac{Q(q^9, -q^4)}{\prod_{j \geq 1} 1 - q^j} = \sum_{n=0}^{\infty} \frac{q^{n(n+2)}(-q^3; q^3)_n}{(q^2; q^2)_n (q^{n+2}; q)_{n+1}}\end{aligned}$$