

Rademacher-type formulas for restricted partition and overpartition functions

Andrew V. Sills

Received: date / Accepted: date

Dedicated to George Andrews on the occasion of his seventieth birthday

Abstract A collection of Hardy-Ramanujan-Rademacher type formulas for restricted partition and overpartition functions is presented, framed by several biographical anecdotes.

Keywords partitions · circle method · Rogers-Ramanujan identities

Mathematics Subject Classification (2000) 11P82 · 11P85 · 05A19

1 Introduction

When George Andrews matriculated in the Ph.D. program at the University of Pennsylvania in the fall of 1961, his intention was to specialize in geometric number theory. He had been attracted to Penn's graduate program in part because the 1961–1962 academic year had been designated a special year in number theory there. The academic year culminated in a celebration of the seventieth birthday of Professor Hans Rademacher.

Rademacher taught Andrews in his analytic number theory class that year, and there Andrews was introduced to the theory of partitions. A partition λ of an integer n is a weakly decreasing finite sequence of positive integers $(\lambda_1, \lambda_2, \dots, \lambda_s)$ whose sum is n . Each λ_i is called a ‘part’ of the partition λ . The theory of integer partitions began with Euler [22], who introduced generating functions to study $p(n)$, the number of partitions of n , and found that the generating function for $p(n)$ was representable as an elegant infinite product:

$$\sum_{n=0}^{\infty} p(n)x^n = \prod_{m \geq 1} \frac{1}{1-x^m}. \quad (1.1)$$

The “circle method” was created by Hardy and Ramanujan and later improved by Rademacher, in connection with the study of the function $p(n)$, the number of partitions of the integer n .

Andrew V. Sills
Department of Mathematical Sciences, Georgia Southern University, Statesboro, GA 31407-8093, USA
Tel.: +912-478-5424
Fax: +912-478-0654
E-mail: ASills@GeorgiaSouthern.edu

The circle method has proved to be one of the most useful tools in the history of analytic number theory. Expositions of the circle method may be found in [2, 9, 53, 54, 56].

Rademacher's formula for $p(n)$ is given by

$$p(n) = \frac{1}{\pi\sqrt{2}} \sum_{k=1}^{\infty} \sqrt{k} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} \omega(h,k) e^{-2\pi i n h/k} \frac{d}{dn} \left(\frac{\sinh \left(\frac{\pi}{k} \sqrt{\frac{2}{3}} \left(n - \frac{1}{24} \right) \right)}{\sqrt{n - \frac{1}{24}}} \right), \quad (1.2)$$

where $\omega(h,k)$ is a $24k$ th root of unity that frequently occurs in the study of modular forms and is given by

$$\omega(h,k) = \begin{cases} \left(\frac{-k}{h} \right) \exp \left(-\pi i \left\{ \frac{1}{4}(2 - hk - h) + \frac{1}{12} \left(k - \frac{1}{k} \right) (2h - H + h^2 H) \right\} \right), & \text{if } 2 \nmid h, \\ \left(\frac{-h}{k} \right) \exp \left(-\pi i \left\{ \frac{1}{4}(k - 1) + \frac{1}{12} \left(k - \frac{1}{k} \right) (2h - H + h^2 H) \right\} \right), & \text{if } 2 \nmid k, \end{cases}$$

$\left(\frac{a}{b} \right)$ is the Legendre-Jacobi symbol, and H is any solution of the congruence

$$hH \equiv -1 \pmod{k}.$$

Andrews reports [66] that the formula for $p(n)$

... was a revolutionary and surprising achievement. The form of this formula is even more stunning. It involves transcendental numbers and expressions that seem to be totally unrelated that might be appropriate, say, in a course on engineering or theoretical physics, but for actually counting how many ways you can add up sums to get a particular number, they seem absolutely incredible. In fact, I was *stunned* the first time I saw this formula. I could not *believe* it, and the experience of seeing it explained and understanding how it took shape really, I think, convinced me that this was the area of mathematics that I wanted to pursue.

Many practitioners, including a number of Ph.D. students and postdocs who worked under Rademacher, have used the circle method to study various restricted partition functions, often associated with sets of partitions enumerated in famous theorems. These practitioners included Grosswald [24, 25], Haberzette [26], Hagsis [27–35], Hua [39], Iseki [40–42], Lehner [43], Livingood [44], Niven [52], and Subramanyasastry [65].

Let us consider several examples.

Theorem 1 (Euler, 1748) *Let $q(n)$ denote the number of partitions of n into odd parts. Let $r(n)$ denote the number of partitions of n into distinct parts. Then $q(n) = r(n)$ for all integers n .*

Theorem 2 (Hagsis, 1963)

$$q(n) = \frac{\pi}{\sqrt{24n+1}} \sum_{\substack{k \geq 1 \\ 2 \nmid k}} \frac{1}{k} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi n h/k} \frac{\omega(h,k)}{\omega(2h,k)} I_1 \left(\frac{\pi \sqrt{24n+1}}{6\sqrt{2}k} \right), \quad (1.3)$$

where

$$I_\nu(z) := \sum_{r=0}^{\infty} \frac{\left(\frac{1}{2}z\right)^{\nu+2r}}{r! \Gamma(\nu+r+1)} \quad (1.4)$$

is the Bessel function of purely imaginary argument.

Theorem 3 (Schur, 1926) Let $s(n)$ denote the number of partitions of n into parts congruent to $\pm 1 \pmod{6}$. Let $t(n)$ denote the number of partitions λ of n where $\lambda_i - \lambda_{i+1} \geq 3$ and $\lambda_i - \lambda_{i+1} > 3$ if $3 \mid \lambda_i$. Then $s(n) = t(n)$ for all n .

Theorem 4 (Niven, 1940)

$$s(n) = \frac{\pi}{\sqrt{36n-3}} \sum_{d|6} \sqrt{(d-2)(d-3)} \sum_{\substack{k \geq 1 \\ (k,6)=d}} \frac{1}{k} \\ \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi nh/k} \frac{\omega(h,k) \omega(6h/d, k/d)}{\omega\left(\frac{2h}{(d,2)}, \frac{k}{(d,2)}\right) \omega\left(\frac{3h}{(d,3)}, \frac{k}{(d,3)}\right)} I_1 \left(\frac{\pi \sqrt{d(12n-1)}}{3\sqrt{6k}} \right). \quad (1.5)$$

Recently, the author found [61]

$$\bar{p}(n) = \frac{1}{2\pi} \sum_{\substack{k \geq 1 \\ 2 \mid k}} \sqrt{k} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} \frac{\omega(h,k)^2}{\omega(2h,k)} e^{-2\pi inh/k} \frac{d}{dn} \left(\frac{\sinh\left(\frac{\pi\sqrt{n}}{k}\right)}{\sqrt{n}} \right) \quad (1.6)$$

and

$$pod(n) = \frac{2}{\pi\sqrt{6}} \sum_{d|4} \sqrt{(d-2)(5d-17)} \sum_{\substack{k \geq 1 \\ (k,4)=d}} \sqrt{k} \\ \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} \frac{\omega(h,k) \omega(4h/d, k/d)}{\omega\left(\frac{2h}{(d,2)}, \frac{k}{(d,2)}\right)} e^{-2\pi inh/k} \frac{d}{dn} \left(\frac{\sinh\left(\frac{\pi\sqrt{d(8n-1)}}{4k}\right)}{\sqrt{8n-1}} \right), \quad (1.7)$$

where $pod(n)$ denotes the number of partitions of n where no odd part is repeated, and $\bar{p}(n)$ denotes the number of overpartitions of n . An *overpartition* of n is a finite weakly decreasing sequence of positive integers where the last occurrence of a given part may or may not be overlined. Thus the eight overpartitions of 3 are (3) , $(\bar{3})$, $(2, 1)$, $(\bar{2}, 1)$, $(2, \bar{1})$, $(\bar{2}, \bar{1})$, $(1, 1, 1)$, $(1, 1, \bar{1})$. Overpartitions were introduced by S. Corteel and J. Lovejoy in [19] and have been studied extensively by them and others including Bringmann, Chen, Fu, Goh, Hirschhorn, Hitzenko, Lascoux, Mahlburg, Robbins, Rødseth, Sellers, Yee, and Zho [11, 16–21, 23, 37, 38, 45–51, 57, 58].

Recently, Bringmann and Ono [12] have given exact formulas for the coefficients of all harmonic Maass forms of weight $\leq \frac{1}{2}$. All of the generating functions considered herein are weakly holomorphic modular forms of weight either 0 or $-\frac{1}{2}$, and thus they are harmonic Maass forms of weight $\leq \frac{1}{2}$. Accordingly, all of the exact formulas for restricted partition and overpartition functions presented here could be derived from the general theorem in [12].

In this article, we will present several anecdotes from the professional life of George Andrews, and present some new Rademacher type formulas related to the events described.

2 Identities in the Lost Notebook

Certainly one of the most exciting incidents of George Andrews' professional life was his unearthing of Ramanujan's lost notebook at the Wren Library at Trinity College, Cambridge University in 1976 (see [4, pp. 5–6, §1.5] and [6, p. 1 ff] for a full account). As is now well known, the lost notebook contains many identities of the Rogers-Ramanujan type. Many of the infinite products appearing in these identities are easily identified as generating functions for certain restricted classes of partitions or overpartitions. The methods of Rademacher may be applied to find explicit formulas for the coefficients appearing in the series expansions of these generating functions.

Below is a list of some of the Rogers-Ramanujan type identities which appear in the lost notebook. Some of these identities also appear in Slater [59]. Specifically, Eq. (2.1) is Slater's (6); Eq. (2.3) is Slater's (12); Eq. (2.5) is Slater's (22); Eq. (2.6) is Slater's (25); Eq. (2.7) is Slater's (28); Eq. (2.8) is Slater's (29); and Eq. (2.11) is Slater's (50).

The standard abbreviations

$$(a; b)_j = \prod_{i=0}^{j-1} (1 - ab^i), \quad (a)_j := (a; x)_j$$

will be used. Note that $(a; b)_0 = 1$. Here and throughout, we assume $|x| < 1$ to guarantee convergence.

$$\sum_{n=0}^{\infty} \frac{x^{n^2} (-1)_n}{(x)_n (x; x^2)_n} = \prod_{m=1}^{\infty} \frac{(1 + x^{3m-2})(1 + x^{3m-1})}{(1 - x^{3m-2})(1 - x^{3m-1})} \quad [7, \text{Ent 4.2.8}] \quad (2.1)$$

$$\sum_{n=0}^{\infty} \frac{x^{n^2} (-x)_n}{(x)_n (x; x^2)_{n+1}} = \prod_{m=1}^{\infty} \frac{(1 + x^{3m-2})(1 + x^{3m-1})}{(1 - x^{3m-2})(1 - x^{3m-1})} \quad [7, \text{Ent 4.2.9}] \quad (2.2)$$

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)/2} (-1)_n}{(x)_n} = \prod_{m=1}^{\infty} \frac{1 + x^{2m-1}}{1 - x^{2m-1}} \quad [7, \text{Ent 1.7.14}] \quad (2.3)$$

$$\sum_{n=0}^{\infty} \frac{x^{n^2} (-x^2; x^2)_n}{(x)_{2n+1}} = \prod_{m=1}^{\infty} \frac{1 + x^{2m-1}}{1 - x^{2m-1}} \quad [7, \text{Ent 1.7.13}] \quad (2.4)$$

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)} (-x)_n}{(x; x^2)_{n+1} (x)_n} = \prod_{m=1}^{\infty} \frac{(1 - x^{6m})(1 - x^{6m-1})(1 - x^{6m-5})}{(1 - x^m)(1 - x^{2m-1})} \quad [7, \text{Ent 4.2.12}] \quad (2.5)$$

$$\sum_{n=0}^{\infty} \frac{x^{n^2} (-x; x^2)_n}{(x^4; x^4)_n} = \prod_{m=1}^{\infty} \frac{(1 - x^{3m})(1 - x^{12m})}{(1 - x^{6m-5})(1 - x^{6m-1})(1 - x^{4m})} \quad [7, \text{Ent 4.2.7}] \quad (2.6)$$

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)} (-x^2; x^2)_n}{(x)_{2n+1}} = \prod_{m=1}^{\infty} \frac{(1 - x^{12m})(1 - x^{12m-9})(1 - x^{12m-3})}{1 - x^m} \quad [7, \text{Ent 4.3.12}] \quad (2.7)$$

$$\sum_{n=0}^{\infty} \frac{x^{n^2} (-x; q^2)_n}{(x)_{2n}} = \prod_{m=1}^{\infty} \frac{(1-x^{6m})(1-x^{12m-6})}{1-x^m} \quad [7, \text{Ent. 5.2.3}] \quad (2.8)$$

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)/2} (-x^2; x^2)_n}{(x)_n (x; x^2)_{n+1}} = \prod_{m=1}^{\infty} \frac{1+x^m}{(1-x^{2m-1})(1-x^{8m-4})} \quad [7, \text{Ent. 1.7.5}] \quad (2.9)$$

$$\sum_{n=0}^{\infty} \frac{x^{n(n+1)/2} (-1; x^2)_n}{(x)_n (x; x^2)_n} = \prod_{m=1}^{\infty} \frac{(1-x^{4m})(1-x^{8m-4})(1+x^m)}{1-x^m} \quad [7, \text{Ent. 1.7.4}] \quad (2.10)$$

$$\sum_{n=0}^{\infty} \frac{x^{n(n+2)} (-x; x^2)_{2n+1}}{(x)_{2n+1}} = \prod_{m=1}^{\infty} \frac{(1-x^{12m})(1-x^{12m-10})(1-x^{12m-9})}{1-x^m} \quad [7, \text{Ent. 3.4.4}] \quad (2.11)$$

Let us denote the coefficient of x^n in the power series expansion of equation (j) above by $R_j(n)$. The following combinatorial interpretations are then immediate:

- $R_{2,1}(n) = R_{2,2}(n)$ = the number of overpartitions of n into nonmultiples of 3.
- $R_{2,3}(n) = R_{2,4}(n)$ = the number of overpartitions of n with only odd parts.
- $R_{2,5}(n)$ = the number of overpartitions of n where nonoverlined parts are congruent to $\pm 2, 3 \pmod{6}$.
- $R_{2,7}(n)$ = the number of partitions of n into parts not congruent to $0, \pm 3 \pmod{12}$.
- $R_{2,9}(n)$ = the number of overpartitions of n where the nonoverlined parts are odd or congruent to 4 $\pmod{8}$.
- $R_{2,11}(n)$ = the number of partitions of n into parts not congruent to $0, \pm 2 \pmod{12}$.

The circle method yields the following formulas, which are believed to be new to the literature. It could be argued that a number of them capture much of the elegance of the formula for $p(n)$. They were found with the aid of *Mathematica* program written by the author. For a discussion of the automation of certain key steps of the circle method, along with additional examples of Rademacher type formulas for restricted partition and overpartition functions, please see [62]. As noted earlier, they could also be derived using the results of Bringmann and Ono [12].

$$R_{2,1}(n) = \frac{\pi}{3\sqrt{2n}} \sum_{k \geq 1} \frac{1}{k} \sum_{\substack{0 \leq h < k \\ 2 \nmid k, 3 \nmid k \\ (h,k)=1}} e^{-2\pi i n h/k} \frac{\omega(h,k)^2 \omega(6h,k)}{\omega(2h,k) \omega(3h,k)^2} I_1 \left(\frac{\pi\sqrt{2n}}{k\sqrt{3}} \right) \quad (2.12)$$

$$R_{2,3}(n) = \frac{\pi}{4\sqrt{n}} \sum_{k \geq 1} \frac{1}{k} \sum_{\substack{0 \leq h < k \\ 2 \nmid k \\ (h,k)=1}} e^{-2\pi i n h/k} \frac{\omega(h,k)^2 \omega(4h,k)}{\omega(2h,k)^3} I_1 \left(\frac{\pi\sqrt{n}}{k\sqrt{2}} \right). \quad (2.13)$$

$$R_{2,5}(n) = \frac{\pi}{2\sqrt{18n+6}} \sum_{k \geq 1} \frac{\sqrt{(k,6)}}{k} \sum_{\substack{0 \leq h < k \\ 2 \nmid k \\ (h,k)=1}} e^{-2\pi i n h/k} \frac{\omega(h,k) \omega\left(\frac{3h}{(k,3)}, \frac{k}{(k,3)}\right)}{\omega\left(\frac{6h}{(k,6)}, \frac{k}{(k,6)}\right)} I_1 \left(\frac{\pi\sqrt{6n+2}}{3k} \right) \quad (2.14)$$

$$\begin{aligned}
R_{2.6}(n) &= \frac{\pi}{3\sqrt{264n-33}} \sum_{d \in \{1,4,12\}} \sqrt{d^2+83d+48} \sum_{\substack{k \geq 1 \\ (k,12)=d}} \frac{1}{k} \\
&\quad \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h n/k} \frac{\omega(h,k) \omega\left(\frac{4h}{(d,4)}, \frac{k}{(d,4)}\right) \omega\left(\frac{6h}{(d,6)}, \frac{k}{(d,6)}\right)}{\omega\left(\frac{3h}{(d,3)}, \frac{k}{(d,3)}\right)^2 \omega\left(\frac{2h}{(d,2)}, \frac{k}{(d,2)}\right)} \\
&\quad \times I_1\left(\pi \frac{\sqrt{(16d-d^2-12)(8n-1)}}{12k}\right) \quad (2.15)
\end{aligned}$$

$$\begin{aligned}
R_{2.7}(n) &= \frac{\pi}{4\sqrt{90n+30}} \sum_{d|6} \sqrt{(d-3)(9d^2-52d+28)} \sum_{\substack{k \geq 1 \\ (k,12)=d}} \frac{1}{k} \\
&\quad \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h n/k} \frac{\omega(h,k) \omega\left(\frac{6h}{(d,6)}, \frac{k}{(d,6)}\right)}{\omega\left(\frac{3h}{(d,3)}, \frac{k}{(d,3)}\right) \omega\left(\frac{12h}{(d,12)}, \frac{k}{(d,12)}\right)} I_1\left(\frac{\pi \sqrt{(8+8d-d^2)(3n+1)}}{3k\sqrt{10}}\right) \quad (2.16)
\end{aligned}$$

$$\begin{aligned}
R_{2.8}(n) &= \frac{\pi}{3\sqrt{264n-11}} \sum_{d \in \{1,4,12\}} \sqrt{2d^2+d+96} \sum_{\substack{k \geq 1 \\ (k,12)=d}} \frac{1}{k} \\
&\quad \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h n/k} \frac{\omega(h,k) \omega\left(\frac{12h}{d}, \frac{k}{d}\right)}{\omega\left(\frac{6h}{(d,6)}, \frac{k}{(d,6)}\right)^2} I_1\left(\frac{\pi \sqrt{(84+16d-d^2)(24n-1)}}{12k\sqrt{33}}\right) \quad (2.17)
\end{aligned}$$

$$R_{2.9}(n) = \frac{\pi\sqrt{3}}{4\sqrt{8n+2}} \sum_{\substack{k \geq 1 \\ 2|k}} \frac{1}{k} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h n/k} \frac{\omega(h,k)^2 \omega(4h,k)}{\omega(2h,k)^2 \omega(8h,k)} I_1\left(\frac{\pi\sqrt{12n+3}}{4k}\right) \quad (2.18)$$

$$R_{2.10}(n) = \frac{\pi\sqrt{3}}{8\sqrt{n}} \sum_{\substack{k \geq 1 \\ 2|k}} \frac{1}{k} \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h n/k} \frac{\omega(h,k)^2 \omega(8h,k)}{\omega(4h,k)^2 \omega(2h,k)} I_1\left(\frac{\pi\sqrt{3n}}{2k}\right) \quad (2.19)$$

$$\begin{aligned}
R_{2.11}(n) &= \frac{\pi}{6\sqrt{24n+15}} \sum_{d=1}^4 \sqrt{(2-d)(7d^2-46d+48)} \sum_{\substack{k \geq 1 \\ (k,12)=d}} \frac{1}{k} \\
&\quad \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h n/k} \frac{\omega(h,k) \omega\left(\frac{4h}{(d,4)}, \frac{k}{(d,4)}\right) \omega\left(\frac{6h}{(d,6)}, \frac{k}{(d,6)}\right)}{\omega\left(\frac{2h}{(d,2)}, \frac{k}{(d,2)}\right) \omega\left(\frac{12h}{d}, \frac{k}{d}\right)^2} I_1\left(\frac{\pi \sqrt{(8n+5)(d^2-4d+12)}}{12k}\right) \quad (2.20)
\end{aligned}$$

3 Capparelli's Conjecture

The year 1992 marked the one hundredth anniversary of the birth of Rademacher, and on July 21–25 of that year a conference honoring the memory of Rademacher was held at Penn State, and George Andrews was of course one of the conference organizers. On the first day of the conference, James Lepowsky of Rutgers gave a talk in which he mentioned that his student Stefano Capparelli had conjectured the following partition identity [13] as a result of his studies of the standard level 3 modules associated with the Lie algebra $A_2^{(2)}$:

Theorem 5 (Capparelli)

- Let $C(n)$ denote the number of partitions of n into parts $\equiv \pm 2, \pm 3 \pmod{12}$.
- Let $D(n)$ denote the number of partitions $\lambda = (\lambda_1, \lambda_2, \dots)$ of n such that
 - $\lambda_j - \lambda_{j+1} \geq 2$,
 - $\lambda_j - \lambda_{j+1} = 2$ only if $\lambda_j \equiv 1 \pmod{3}$, and
 - $\lambda_j - \lambda_{j+1} = 3$ only if λ_j is a multiple of 3.
- Then $C(n) = D(n)$ for all n .

This identity is clearly similar in the spirit of those in the classical literature such as Schur's identity (our Theorem 3), yet was new. Needless to say, Andrews and others at the conference were quite intrigued by the conjecture. Andrews worked intently for the next several evenings, and was able to find a proof [5] of the identity in time to present it as his talk on the last day of the conference. Of this proof, Andrews wrote [1, p. 505], "In my proof of Capparelli's conjecture, I was completely guided by the Wilf-Zeilberger method, even if I didn't use Doron's program explicitly. I couldn't have produced my proof without knowing the principle behind 'WZ.' " Although Andrews' WZ-inspired proof (see [64, 67–70]) was the first proof of the Capparelli conjecture, Lie theoretic proofs were later found by Tamba and Xie [63] and Capparelli himself [14].

The generating function for the partitions enumerated by the $C(n)$ in Capparelli's identity is

$$\sum_{n=0}^{\infty} C(n)x^n = \prod_{m \geq 1} \frac{1}{(1-x^{12m-10})(1-x^{12m-9})(1-x^{12m-3})(1-x^{12m-2})},$$

and indeed the Rademacher method may be applied to find an explicit formula for $C(n)$.

$$\begin{aligned} C(n) &= \frac{\pi}{\sqrt{24n-1}} \sum_{d \in \{1,2,3,12\}} \sqrt{12+308d+12d^2-2d^3} \sum_{\substack{k \geq 1 \\ (k,12)=d}} \frac{1}{k} \\ &\times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi nh/k} \frac{\omega\left(\frac{12h}{d}, \frac{k}{d}\right) \omega\left(\frac{3h}{(d,3)}, \frac{k}{(d,3)}\right) \omega\left(\frac{2h}{(d,2)}, \frac{k}{(d,2)}\right)}{\omega\left(\frac{6h}{(d,6)}, \frac{k}{(d,6)}\right)^2 \omega\left(\frac{4h}{(d,4)}, \frac{k}{(d,4)}\right)} \\ &\times I_1 \left(\frac{\pi \sqrt{(24n-1)(201-231d+91d^2-6d^3)}}{6\sqrt{165k}} \right). \quad (3.1) \end{aligned}$$

4 The Bailey Chain

Of course, Andrews has contributed a large number of important and useful discoveries to the body of mathematical knowledge. One of this author's favorites is the *Bailey chain*, i.e. the realization that the Bailey lemma is self-replicating and therefore any Bailey pair implies infinitely many others. In particular, every Rogers-Ramanujan type identity is automatically part of an infinite family (see [3,4]).

The Bailey chain provides an explanation and a context for many infinite family q -series identities and their combinatorial counterparts. For example, David Bressoud's identity [10, p. 15, Eq. (3.4) with $k = r$]

$$\sum_{n_1, n_2, \dots, n_{r-1} \geq 0} \frac{x^{N_1^2 + N_2^2 + \dots + N_{r-1}^2}}{(x)_{n_1} (x)_{n_2} \cdots (x)_{n_{r-2}} (x^2; x^2)_{n_{r-1}}} = \prod_{m=1}^{\infty} \frac{(1 - x^{2rm-r})(1 - x^m)}{1 - x^m}, \quad (4.1)$$

where $N_j := n_j + n_{j+1} + \dots + n_{r-1}$ and $r \geq 2$, follows from inserting the Bailey pair

$$\alpha_n(a, x) = \frac{(-1)^n x^{n^2} (1 - ax^{2n})(a^2; x^2)_n}{(1-a)(x^2; x^2)_n}, \quad \beta_n(a, x) = \frac{1}{(x^2; x^2)_n}$$

into a certain limiting case of the Bailey chain [4, p. 30, Theorem 3.5], setting $a = 1$, and then applying Jacobi's triple product identity [4, p. 63, Eq. (7.1)]. Although Bressoud's combinatorial counterpart to [10, p. 15, Eq. (3.4)] excludes the special case with $k = r$ (our (4.1) above), the author provided a combinatorial interpretation [60, p. 315, Theorem 6.9], which we recall here:

Theorem 6 For $r \geq 2$, let $B_r(n)$ denote the number of partitions $\lambda = (\lambda_1, \lambda_2, \dots)$ of n such that

- 1 appears as a part less than r times,
- $\lambda_j - \lambda_{j+r-1} \geq 2$, and
- if $\lambda_j - \lambda_{j+r-2} \leq 1$, then $\sum_{h=0}^{r-2} \lambda_{j+h} \equiv (r-1) \pmod{2}$.

For $r \geq 3$, let $A_r(n)$ denote the number of partitions of n such that

- no part is a multiple of r ,
- for any nonnegative integer j , either $rj + 1$ or $r(j+1) - 1$, but not both, may appear as parts,

and let $A_2(n)$ denote the number of partitions of n into distinct odd parts. Then $A_r(n) = B_r(n)$ for all integers n .

Remark 1 The combinatorial interpretation of the $A_r(n)$ was facilitated by ideas advanced by Andrews and Lewis [8].

We conclude with a Rademacher-type formula for the $A_r(n)$:

$$A_r(n) = \frac{2\pi\sqrt{2}}{\sqrt{24n-1}} \sum_{d|r} \frac{(d,r)}{\sqrt{dr}} \chi(2r+d^2 > 4(d,r)^2) \sum_{\substack{k \geq 1 \\ (h,k)=d}} k^{-1} \\ \times \sum_{\substack{0 \leq h < k \\ (h,k)=1}} e^{-2\pi i h/k} \frac{\omega(h,k) \omega(2rh/d, k/d)}{\omega\left(\frac{rh}{(d,r)}, \frac{k}{(d,r)}\right)} I_1 \left(\frac{\pi}{6k} \sqrt{\frac{(24n-1)(2r+d^2-4(d,r)^2)}{2r}} \right), \quad (4.2)$$

where

$$\chi(P) = \begin{cases} 1 & \text{if } P \text{ is true, and} \\ 0 & \text{if } P \text{ is false.} \end{cases}$$

Acknowledgement

The author thanks his thesis advisor, George Andrews, for all his help, encouragement, and kindness over many years. The author also thanks the anonymous referee for helping him to correct a potentially misleading statement in the original version.

References

1. 1998 Steele Prizes, Notices Amer. Math. Soc. 45 (1998) 504–508.
2. G.E. Andrews, The Theory of Partitions, Encyclopedia of Mathematics and its Applications, vol. 2, Addison-Wesley, Reading, MA, 1976. Reissued, Cambridge, 1998.
3. G.E. Andrews, Multiple series Rogers-Ramanujan type identities, Pacific J. Math. 114 (1984) 267–283.
4. G.E. Andrews, q -Series: Their Development and Application in Analysis, Number Theory, Combinatorics, Physics, and Computer Algebra, (Regional conference series in mathematics, no. 66), Providence: American Mathematical Society, 1986.
5. G.E. Andrews, Schur's Theorem, Capparelli's conjecture and q -trinomial coefficients, in Proc. Rademacher Centenary Conf., 1992 pp. 141–154, Contemp. Math. 166, Amer. Math. Soc., Providence, 1994.
6. G.E. Andrews and B.C. Berndt, Ramanujan's Lost Notebook Part I, Springer, 2005.
7. G.E. Andrews and B.C. Berndt, Ramanujan's Lost Notebook Part II, Springer, 2009.
8. G.E. Andrews and R.P. Lewis, An algebraic identity of F.H. Jackson and its implication for partitions, Discrete Math. 232 (2001) 77–83.
9. T.M. Apostol, Modular Functions and Dirichlet Series in Number Theory, Graduate Texts in Mathematics, vol. 41, 2nd ed., Springer-Verlag, 1990.
10. D.M. Bressoud, Analytic and combinatorial generalizations of the Rogers-Ramanujan identities, Mem. Amer. Math. Soc. 24 (1980), no. 227, 54 pp.
11. K. Bringmann, J. Lovejoy, Dyson's rank, overpartitions, and weak Maass forms, Int. Math. Res. Not. IMRN 2007, no. 19, 34 pp.
12. K. Bringmann and K. Ono, Coefficients of harmonic Maass forms, Proceedings of the 2008 University of Florida Conference on Partitions, q -series, and Modular Forms, Developments in Mathematics series, Springer, to appear.
13. S. Capparelli, Vertex operator relations for some Lie algebras and combinatorial identities, Ph.D. thesis, Rutgers, 1988.
14. S. Capparelli, A construction of the level 3 modules for the Hecke algebra $A_2^{(2)}$ and a new combinatorial identity of the Rogers-Ramanujan type, Trans. Amer. Math. Soc., 348 (1996), no. 2, 481–501.
15. O-Y. Chan, Some asymptotics for cranks, Acta Arith. 120 (2005) 107–143.
16. W.Y.C. Chen, J.J.Y. Zhao, The Gaussian coefficients and overpartitions, Discrete Math. 305 (2005) 350–353.
17. S. Corteel, W.M.Y. Goh, P. Hitczenko, A local limit theorem in the theory of overpartitions, Algorithmica 46 (2006) 329–343.
18. S. Corteel, P. Hitczenko, Multiplicity and number of parts in overpartitions, Ann. Comb. 8 (2004) 287–301.
19. S. Corteel, J. Lovejoy, Overpartitions, Trans. Amer. Math. Soc. 356 (2004) 1623–1635.
20. S. Corteel, J. Lovejoy, A. J. Yee, Overpartitions and generating functions for generalized Frobenius partitions. Mathematics and computer science. III, 15–24, Trends Math., Birkhuser, Basel, 2004.
21. S. Corteel and O. Mallet, Overpartitions, lattice paths, and Rogers-Ramanujan identities, J. Combin. Theory Ser. A 114 (2007) 1407–1437.
22. L. Euler, Introductio in Analysin Infinitorum, Marcum-Michaellem Bousquet, Lausanne, 1748.
23. A.M. Fu, A. Lascoux, q -identities related to overpartitions and divisor functions, Electron. J. Combin. 12 (2005) #R38, 7 pp.
24. E. Grosswald, Some theorems concerning partitions, Trans. Amer. Math. Soc. 89 (1958) 113–128.
25. E. Grosswald, Partitions into prime powers, Mich. Math. J. 7 (1960) 97–122.

26. M. Haberzette, On some partition functions, *Amer. J. Math.* 63 (1941) 589–599.
27. P. Hagsis, A problem on partitions with a prime modulus $p \geq 3$, *Trans. Amer. Math. Soc.* 102 (1962) 30–62.
28. P. Hagsis, Partitions into odd summands, *Amer. J. Math.* 85 (1963) 213–222.
29. P. Hagsis, On a class of partitions with distinct summands, *Trans. Amer. Math. Soc.* 112 (1964) 401–415.
30. P. Hagsis, Partitions into odd and unequal parts, *Amer. J. Math.* 86 (1964) 317–324.
31. P. Hagsis, Partitions with odd summands some comments and corrections, *Amer. J. Math.* 87 (1965) 218–220.
32. P. Hagsis, A correction of some theorems on partitions, *Trans. Amer. Math. Soc.* 118 (1965) 550.
33. P. Hagsis, On partitions of an integer into distinct odd summands, *Amer. J. Math.* 87 (1965) 867–873.
34. P. Hagsis, Some theorems concerning partitions into odd summands, *Amer. J. Math.* 88 (1966) 664–681.
35. P. Hagsis, Partitions with a restriction on the multiplicity of summands, *Trans. Amer. Math. Soc.* 155 (1971) 375–384.
36. G.H. Hardy, S. Ramanujan, Asymptotic formulae in combinatory analysis, *Proc. London Math. Soc.* (2) 17 (1918) 75–115.
37. M.D. Hirschhorn, J.A. Sellers, Arithmetic relations for overpartitions, *J. Combin. Math. Combin. Comput.* 53 (2005) 65–73.
38. M.D. Hirschhorn, J.A. Sellers, Arithmetic properties of overpartitions into odd parts, *Ann. Comb.* 10 (2006) 353–367.
39. L.K. Hua, On the number of partitions into unequal parts, *Trans. Amer. Math. Soc.* 51 (1942) 194–201.
40. S. Iseki, A partition function with some congruence condition, *Amer. J. Math.* 81 (1959) 939–961.
41. S. Iseki, On some partition functions, *J. Math. Soc. Japan* 12 (1960) 81–88.
42. S. Iseki, Partitions in certain arithmetic progressions, *Amer. J. Math.* 83 (1961) 243–264.
43. J. Lehner, A partition function connected with the modulus five, *Duke Math. J.* 8 (1941) 631–655.
44. J. Livingood, A partition function with prime modulus $p > 3$, *Amer. J. Math.* 67 (1945) 194–208.
45. J. Lovejoy, Gordon’s theorem for overpartitions, *J. Combin. Theory Ser. A* 103 (2003) 393–401.
46. J. Lovejoy, Overpartitions and real quadratic fields, *J. Number Theory* 106 (2004) 178–186.
47. J. Lovejoy, Overpartition theorems of the Rogers-Ramanujan type, *J. London Math. Soc.* (2) 69 (2004) 562–574.
48. J. Lovejoy, A theorem on seven-colored overpartitions and its applications, *Int. J. Number Theory* 1 (2005) 215–224.
49. J. Lovejoy, Rank and conjugation for the Frobenius representation of an overpartition, *Ann. Comb.* 9 (2005) 321–334.
50. J. Lovejoy, Partitions and overpartitions with attached parts, *Arch. Math. (Basel)* 88 (2007) 316–322.
51. K. Mahlburg, The overpartition function modulo small powers of 2, *Discrete Math.* 286 (2004), no. 3, 263–267.
52. I. Niven, On a certain partition function, *Amer. J. Math.* 62 (1940) 353–364.
53. H. Rademacher, On the partition function $p(n)$, *Proc. London Math. Soc.* (2) 43 (1937) 241–254.
54. H. Rademacher, On the expansion of the partition function in a series, *Ann. Math.* (2) 44 (1943) 416–422.
55. H. Rademacher, *Lectures on Analytic Number Theory*, Tata Institute, Bombay, 1954–1955.
56. H. Rademacher, *Topics in Analytic Number Theory*, Die Grundlehren der mathematischen Wissenschaften, Bd. 169, Springer-Verlag, 1973.
57. N. Robbins, Some properties of overpartitions, *JP J. Algebra Number Theory Appl.* 3 (2003) 395–404.
58. Ø. Rødseth, J. A. Sellers, On m -ary overpartitions, *Ann. Comb.* 9 (2005) 345–353.
59. L.J. Slater, Further identities of the Rogers-Ramanujan type, *Proc. London Math. Soc.* 54 (1952) 147–167.
60. A.V. Sills, Identities of the Rogers-Ramanujan-Slater type, *Internat. J. Number Theory* 3 (2007) 293–323.
61. A.V. Sills, A Rademacher type formula for overpartitions, preprint, 2009.
62. A.V. Sills, Towards an automation of the circle method, preprint, 2009.
63. M. Tamba and C. Xie, Level three standard modules for $A_2^{(2)}$ and combinatorial identities, *J. Pure Appl. Algebra* 105 (1995), no. 1, 5392.
64. M. Petkovšek, H.S. Wilf and D. Zeilberger, $A = B$, A.K. Peters, Wellesley, MA, 1996.
65. V.V. Subramanyasastry, Partitions with congruence conditions, *J. Indian Math. Soc.* 11 (1972) 55–80.
66. C. Sykes (writer, producer, director), *The Man Who Loved Numbers*, NOVA documentary, PBS, (WGBH Boston) original airdate: March 22, 1988.
67. H.S. Wilf and D. Zeilberger, Rational functions certify combinatorial identities, *J. Amer. Math. Soc.* 3 (1990) 147–158.
68. H.S. Wilf and D. Zeilberger, An algorithmic proof theory for hypergeometric (ordinary and ‘ q ’) multi-sum/integral identities, *Invent. Math.* 108 (1992) 575–633.
69. D. Zeilberger, A fast algorithm for proving termination hypergeometric identities, *Discrete Math.* 80 (1990) 207–211.
70. D. Zeilberger, The method of creative telescoping, *J. Symbolic Comput.* 11 (1991) 195–204.